

Tradier Brokerage Fee Schedule

Automated Customer Account Transfer System – Outgoing (ACATS) \$75

Account Inactivity (if less than \$2K in total account value and less than 2 executed trades in 1 year) \$50

* fee not applicable to subscription accounts

Broker Assisted Trading (additional fee) \$10

DWAC/DRS \$150 per CUSIP

Exercise and Assignment \$9

International Account Monthly Maintenance (less than 2 executed trades per month) \$20

* fee not applicable to subscription accounts

Margin / Position Sell Out (additional fee) \$10

Margin Rate 5.25%

Mutual Fund transaction \$30

Mutual Fund NTF Ticket \$10

New Account Opening Paper Application \$10

New Account Opening Entity \$10

New Account Opening International \$20

Overnight Mail - Domestic \$50

Paper Check - Domestic \$3

Paper Confirm Fee \$3

Paper Statement Fee \$6

Paper Tax Statement Fee \$6

Reorganization Activity (domestic) \$50

Individual Retirement Account (IRA) Annual Maintenance \$30

Individual Retirement Account (IRA) Termination Fee \$60

Return Mail \$2

Returned Checks/ACH/Wires, Recalls and Stop Payments \$35

Short Forced Buy in fee (additional fee) \$10

Warrant Transactions \$25

Wire Transfers (Non USD) \$75

Wire Transfers (USD) \$30

OCC Clearing Fees Trades with contracts of:

0 to 1,222 \$0.045 per contract

Greater than, 1,222, \$55.00/trade max

Single Listed Index Option Fee per contract:

DJX 0.18

NDX 0.14

OEX 0.40

RUT 0.18

SPX 0.65

VIX 0.40

XEO 0.40

Regulatory Fees:

SEC \$0.0000221/\$1 sold

TAF \$0.000119/share, \$5.95/trade max

ORF \$0.035/contract

*regulatory fees subject to change without notice