

Report for Apex Clearing Corporation

SEC Rule 606 Report Disclosure

3rd Quarter, 2018

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	43.18%	0.64%	71.60%	11.56%
Virtu Financial, Inc.	23.44%	37.79%	9.91%	51.61%
G1 Execution Services, LLC	15.06%	33.34%	8.90%	1.76%
Citadel Securities, LLC	11.56%	16.42%	6.15%	24.82%
Total Orders	45.91%	29.04%	57.94%	13.02%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	50.55%	1.07%	68.46%	10.48%
Virtu Financial, Inc.	19.79%	35.80%	10.77%	58.53%
Citadel Securities, LLC	12.52%	22.00%	9.07%	20.31%
G1 Execution Services, LLC	11.60%	29.10%	8.00%	3.97%
Total Orders	73.25%	18.80%	72.16%	9.04%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	37.56%	1.00%	50.55%	2.88%
Citadel Securities, LLC	35.94%	60.33%	30.03%	28.91%
Virtu Financial, Inc.	12.40%	15.25%	7.81%	54.19%
Two Sigma Securities, LLC	6.96%	12.36%	6.14%	0.02%
G1 Execution Services, LLC	6.32%	10.99%	5.45%	2.14%
Total Orders	73.71%	19.74%	73.53%	6.73%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Wolverine Execution Services, LLC	57.17%	50.60%	57.52%	55.71%
Susquehanna Capital Group	18.84%	23.98%	18.49%	25.11%
Instinet, LLC	9.93%	0.94%	10.52%	0.41%
Citigroup Global Markets Inc.	8.08%	19.65%	7.45%	11.79%
Total Orders	41.23%	4.74%	93.90%	1.36%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

