

**Report for Apex Clearing Corporation**  
**SEC Rule 606 Report Disclosure**  
**1st Quarter, 2018**

<b>NYSE Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	40.83%	1.13%	66.76%	6.79%
Virtu Financial, Inc.	28.56%	39.55%	12.67%	80.88%
G1 Execution Services, LLC	16.24%	33.16%	10.33%	5.37%
Citadel Securities, LLC	11.88%	21.86%	9.24%	1.31%
Total Orders	28.67%	28.49%	59.45%	12.06%

\* Orders for which customer requests special handling

<b>NASDAQ Listed Securities</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	49.02%	1.65%	64.81%	6.76%
Virtu Financial, Inc.	21.31%	33.80%	11.04%	81.74%
Citadel Securities, LLC	13.51%	27.87%	11.82%	1.36%
G1 Execution Services, LLC	12.98%	30.53%	10.03%	5.23%
Total Orders	62.75%	16.55%	74.25%	9.20%

\* Orders for which customer requests special handling

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Instinet, LLC	40.41%	2.17%	52.19%	3.95%
Citadel Securities, LLC	29.28%	53.09%	25.95%	1.46%
G1 Execution Services, LLC	12.35%	22.18%	10.54%	6.45%
Two Sigma Securities, LLC	10.58%	18.84%	9.45%	0.64%
Virtu Financial, Inc.	6.98%	3.66%	1.86%	81.28%
Total Orders	66.73%	17.72%	76.23%	6.05%

\* Orders for which customer requests special handling

<b>Exchange-Listed Options</b>				
<b>Orders routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders*</b>
Wolverine Execution Services, LLC	43.32%	34.72%	44.00%	36.99%
Citadel Securities, LLC	23.05%	27.88%	22.60%	29.69%
Susquehanna Capital Group	15.07%	24.14%	14.44%	18.08%
Instinet, LLC	9.28%	0.86%	9.98%	1.71%
G-VOLANTOPTBNA	5.87%	10.07%	5.51%	10.28%
Total Orders	32.75%	5.57%	92.12%	2.30%

\* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

