

Report for Apex Clearing Corporation

SEC Rule 606 Report Disclosure

3rd Quarter, 2017

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	43.10%	4.17%	54.99%	19.16%
KCG Americas, LLC	28.48%	39.76%	18.17%	80.83%
G1 Execution Services, LLC	15.31%	30.81%	14.33%	0.00%
Citadel Securities, LLC	10.24%	19.17%	9.89%	0.01%
Total Orders	25.34%	15.59%	73.33%	11.08%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	52.08%	4.71%	63.43%	17.87%
KCG Americas, LLC	22.72%	37.69%	13.53%	81.92%
G1 Execution Services, LLC	12.58%	29.70%	11.37%	0.02%
Citadel Securities, LLC	9.10%	19.70%	8.48%	0.15%
Total Orders	69.51%	12.27%	78.62%	9.11%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	41.01%	2.74%	48.75%	5.15%
G1 Execution Services, LLC	19.64%	35.08%	18.52%	0.01%
Citadel Securities, LLC	14.52%	25.83%	13.71%	0.08%
Two Sigma Securities	13.83%	24.29%	13.10%	0.01%
KCG Americas, LLC	10.99%	12.03%	5.91%	94.74%
Total Orders	65.96%	12.20%	82.93%	4.87%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	38.41%	43.36%	37.97%	45.67%
Wolverine Execution Services, LLC	20.85%	19.56%	20.93%	21.38%
Citigroup Global Markets Inc.	14.85%	11.83%	15.04%	15.72%
Susquehanna Capital Group	10.12%	14.00%	9.86%	9.38%
Instinet, LLC	8.16%	1.12%	8.74%	0.55%
LiquidPoint, LLC	7.62%	10.13%	7.45%	7.30%
Total Orders	24.95%	6.22%	92.50%	1.27%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

