

Report for Apex Clearing Corporation
SEC Rule 606 Report Disclosure
2nd Quarter, 2017

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	45.79%	2.83%	65.43%	7.68%
KCG Americas, LLC	29.41%	36.98%	13.21%	92.30%
Arxis Capital Group, LLC.	9.06%	24.21%	7.24%	0.00%
G1 Execution Services, LLC	8.81%	21.32%	7.61%	0.00%
Total Orders	53.68%	17.28%	67.43%	15.29%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	52.52%	3.73%	66.87%	11.55%
KCG Americas, LLC	24.13%	37.48%	12.65%	88.19%
G1 Execution Services, LLC	8.84%	21.39%	7.89%	0.15%
Arxis Capital Group, LLC.	7.62%	21.09%	6.36%	0.01%
Total Orders	68.21%	13.22%	75.94%	10.84%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	45.15%	1.94%	53.11%	2.95%
KCG Americas, LLC	19.21%	26.62%	12.86%	97.04%
G1 Execution Services, LLC	15.96%	32.57%	15.17%	0.00%
Two Sigma Securities	13.52%	28.03%	12.80%	0.00%
Total Orders	75.40%	9.73%	84.32%	5.95%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	46.61%	60.38%	45.52%	54.38%
Wolverine Execution Services, LLC	18.86%	9.05%	19.61%	14.47%
Susquehanna Capital Group	13.92%	20.13%	13.43%	17.53%
Citigroup Global Markets Inc.	10.08%	7.15%	10.28%	10.17%
Instinet, LLC	8.53%	1.29%	9.15%	1.27%
Total Orders	24.08%	6.41%	92.13%	1.46%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

