

Report for Apex Clearing Corporation
SEC Rule 606 Report Disclosure
1st Quarter, 2017

NYSE Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	41.01%	2.03%	66.22%	6.82%
KCG Americas, LLC	24.44%	24.09%	10.11%	87.00%
Arxis Capital Group, LLC.	15.25%	35.55%	9.78%	0.01%
Citadel Securities, LLC	13.56%	25.16%	10.13%	6.16%
Total Orders	69.41%	26.48%	59.70%	13.82%

* Orders for which customer requests special handling

NASDAQ Listed Securities				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	51.04%	2.81%	67.46%	11.28%
KCG Americas, LLC	18.51%	23.09%	9.10%	82.94%
Citadel Securities, LLC	13.40%	31.04%	10.26%	5.75%
Arxis Capital Group, LLC.	11.72%	30.06%	8.94%	0.02%
Total Orders	72.00%	17.15%	73.37%	9.48%

* Orders for which customer requests special handling

Securities Listed on NYSE MKT/Regional Exchanges				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Instinet, LLC	43.61%	0.88%	57.25%	2.67%
Citadel Securities, LLC	32.04%	74.50%	23.22%	6.77%
KCG Americas, LLC	14.87%	12.64%	10.00%	90.53%
G1 Execution Services, LLC	6.57%	8.99%	6.44%	0.00%
Total Orders	74.88%	18.94%	75.63%	5.43%

* Orders for which customer requests special handling

Exchange-Listed Options				
Orders routed to:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders*
Citadel Securities, LLC	46.39%	61.86%	45.14%	56.55%
Citigroup Global Markets Inc.	15.32%	11.39%	15.63%	12.87%
Wolverine Execution Services, LLC	14.67%	7.50%	15.23%	11.34%
Susquehanna Capital Group	11.32%	16.39%	10.90%	14.94%
Instinet, LLC	9.68%	0.61%	10.45%	0.97%
Total Orders	14.99%	6.68%	92.19%	1.13%

* Orders for which customer requests special handling

[Material Aspects of Relationships with Route Venues](#)

